PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

Under the Paperwork Reduction Act of 1995	no persons are required to respond to a col			displays a valid OMB control number.							
PE 40	Application Number	10/567,	10/567,699								
RANSMITTAL	Filing Date	Februar	February 3, 2006								
5EP 0 6 1006	First Named Inventor	Gianni Sava									
o <sup>El</sup> .	Art Unit	1615									
(to be for all correspondence after initial	filing) Examiner Name	Unknown									
Total Number of Pages in This Submission	Attorney Docket Number	NOTAI	NOTAR-031US								
Tetal Name of Page III Ame destination.											
ENCLOSURES (Check all that apply)  After Allowance Communication to TC											
Fee Transmittal Form	Drawing(s)		Appeal Communication to Board								
Fee Attached	Licensing-related Papers	of Appeals and Interferences									
Amendment/Reply	Petition Petition to Convert to a		(Appe	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information							
After Final	Provisional Application Power of Attorney, Revocation										
Affidavits/declaration(s)	Change of Correspondence A										
Extension of Time Request	Terminal Disclaimer	below):									
Express Abandonment Request	Request for Refund	see Remarks below									
Information Disclosure Statement	CD, Number of CD(s)	CD, Number of CD(s)									
	Landscape Table on CD	)									
Certified Copy of Priority Document(s)											
Enclosures:  Reply to Missing Parts/  - Letter to PTO re: correction to Filing Receipt											
Incomplete Application	- Copy of Filing Receipt	of Filing Receipt									
Reply to Missing Parts under 37 CFR 1.52 or 1.53	- Return postcard										
SIGNA	TURE OF APPLICANT, ATTO	RNEY, O	R AGENT								
Firm Name STETINA BRUNI	OA GARRED & BRUCKER	,	:	· · · · · · · · · · · · · · · · · · ·							
Signature	11/2		<del> </del>								
		····									
Printed name  Kit M. Stetina											
Date August 31, 2006	F	Reg. No.	29,445								
			<u> </u>								
CERTIFICATE OF TRANSMISSION/MAILING											
I hereby certify that this correspondence is l sufficient postage as first class mail in an er											
the date shown below: Signature	Make		<del>,</del>	-							
Typed or printed name Josephine I.	Date	August 31,2006									

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### LAW OFFICES

## Stetina Brunda Garred & Brucker

A PROFESSIONAL CORPORATION
PATENT, TRADEMARK, COPYRIGHT AND UNFAIR COMPETITION CAUSES

75 ENTERPRISE, SUITE 250 ALISO VIEJO, CALIFORNIA 92656

KIT M. STETINA
BRUCE B. BRUNDA
WILLIAM J. BRUCKER
MARK B. GARRED
MATTHEW A. NEWBOLES
ERIC L. TANEZAKI
LOWELL ANDERSON

SEAN O'NEILL JAMES C. YANG SHUNSUKE S. SUMITANI STEPHEN Z. VEGH

JESSIE WANG \*
BENJAMIN N. DIEDERICH \*
MICHAEL J. ZINGALE \*

TELEPHONE (949) 855-1246 FACSIMILE I (949) 855-6371 FACSIMILE II (949) 716-8197 www.stetinalaw.com Writer's Direct E-mail:

kstetina@stetinalaw.com

August 31, 2006

Assistant Commissioner for Patents Office of Initial Patent Examination Filing Receipt Corrections Post Office Box 1450 Alexandria, Virginia 22313-1450

RE: United States Patent No.: 10/567,699

For POLYSACCHARIDE DOUBLE-LAYER MICROCAPSULES AS CARRIERS FOR BIOLOGICALLY ACTIVE SUBSTANCE ORAL ADMINISTRATION

- Gianni Sava, Laura Zorzin and Dario Vojnovic -

Our Ref: NOTAR-031US

### Dear Sir/Madam:

I am in receipt of the Corrected Filing Receipt and note that you have misspelled the name of one of the inventors. The correct listing of the inventors are noted below:

Applicant(s): Gianni Sava, Trieste, ITALY

Laura Zorzin, Fiumicello, ITALY Dario Vojnovic, Trieste, ITALY

On the Corrected Filing Receipt, you listed the applicant's name as DARLO VOJNOVIC instead of DARIO VOJNOVIC.

Kindly make the appropriate correction and forward a Corrected Filing Receipt to my attention at the earliest possible date.

# Stetina Brunda Garred & Brucker

## **NOTAR-031US**

August 31, 2006 Page 2

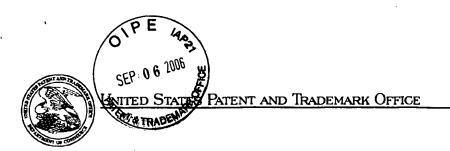
Should you have any questions regarding this application, please do not hesitate to contact me.

Respectfully submitted,

STETINA BRUNDA GARRED & BRUCKER

Customer No. 007663

Rit M. Stetina Reg. No. 29,445



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Vignai 22313-1450 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/567,699	02/03/2006	1615	925	NOTAR-031US	3	35	4

07663 STETINA BRUNDA GARRED & BRUCKER 75 ENTERPRISE, SUITE 250 ALISO VIEJO, CA 92656

**CONFIRMATION NO. 3882** CORRECTED FILING RECEIPT \*OC000000020035040\*

\*OC000000020035040\*

Date Mailed: 08/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Gianni Sava, Trieste, ITALY; Laura Zorzin, Fiumicello, ITALY; DARLO VOJNOVIC, TRIESTE, ITALY; DARIO

Power of Attorney: The patent practitioners associated with Customer Number 07663.

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/51693 08/03/2004

#### Foreign Applications

ITALY MI2003A001617 08/06/2003

If Required, Foreign Filing License Granted: 07/03/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/567.699** 

Projected Publication Date: 10/12/2006

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Polysaccharide double-layer microcapsules as carriers for biologically active substance oral administration

### **Preliminary Class**

424

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).